



#7
RECEIPT
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IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of: : Attorney Docket No. CC-0273
Michael A. Davis et al. : Examiner:
Serial No.: 09/648,525 : Group Art Unit: 2874

Filed: August 26, 2000

For: Optical Filter Having a Shaped Filter Function

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the Patent and Trademark Office in the above-identified application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data which is incorrectly entered as follows:

ERROR IN	CORRECT DATA
Applicant's Name: Alan D. Kersey, South Glastonbury, CT Michael A. Davis, Glastonbury, CT Martin A. Putnam, Cheshire, CT Timothy J. Bailey, Longmeadow, MA	Applicant's Name: Michael A. Davis, Glastonbury, CT Alan D. Kersey, South Glastonbury, CT Martin A. Putnam, Cheshire, CT Timothy J. Bailey, Longmeadow, MA
Applicant's Address:	
Title:	
Filing Date:	
Filing Date of Parent Appl'n. Ref.: 09/519,240	
Serial Number	
Foreign/PCT Appln. Ref.:	
Other	

The correction(s) is **not due** to any error by applicant and no fee is due. I have attached a copy of the filing receipt and a copy of the Declaration and Assignment as filed.

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0260
Order No. CC-0273.

Respectfully submitted,

Robert D. Crawford
Reg. No. 38,119

CiDRA Corporation
50 Barnes Park North
Wallingford, CT 06492
Tel. (203) 626-3502



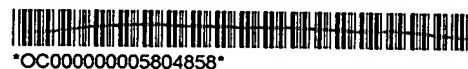
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/648,525	08/26/2000	2874	1204	CC-0273	8	37	4

KPC
CiDRA Corporation
50 Barnes Park North
Wallingford, CT 06492

FILING RECEIPT



OC000000005804858

Date Mailed: 02/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Alan D. Kersey, South Glastonbury, CT ;
Michael A. Davis, Glastonbury, CT ;
Martin A. Putnam, Cheshire, CT ;
Timothy J. Bailey, Longmeadow, MA ;

Continuing Data as Claimed by Applicant

Foreign Applications

Title

Optical filter having a shaped filter function

Preliminary Class

385



Data entry by : HALLMAN, LINDA

Team : 2800

Date: 02/27/2001



ASSIGNMENT

USSN: 09/648,525
Filed: August 26, 2000

WHEREAS, WE, Michael A. Davis of 172 Stevens Lane, Glastonbury, Connecticut 06033; Alan D. Kersey of 75 Taylor Town Road, South Glastonbury, Connecticut 06073 and Martin A. Putnam of 78 Lancaster Way, Cheshire, Connecticut 06410; Timothy J. Bailey of 703 Maple Road, Longmeadow, Massachusetts 01106 and, have invented certain improvements in a **OPTICAL FILTER HAVING A SHAPED FILTER FUNCTION** identified as Attorney Docket No. CC-0273, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, CiDRA Corporation, of Wallingford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire United States right, title and interest in and to said invention or inventions and any and all U.S. Patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid U.S. Patent application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

L.S. Michael A. Davis
Michael A. Davis
L.S. Alan D. Kersey
Alan D. Kersey
L.S. Martin A. Putnam
Martin A. Putnam
L.S. Timothy J. Bailey
Timothy J. Bailey

11/16/00
Date
11/16/00
Date
11/17/00
Date
11/17/00
Date

State of Connecticut)

) ss: Wallingford

County of New Haven)

On this 16th day of November, 2000, before me personally appeared Michael A. Davis, Alan D. Kersey, Martin A. Putnam and Timothy J. Bailey, to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(they) free act and deed.

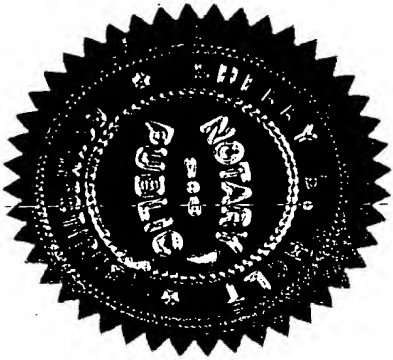
Sherry B. Holt
Notary Public

SHERRY B. HOLT
Notary Public
My Commission Expires Feb. 28, 2005



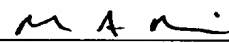
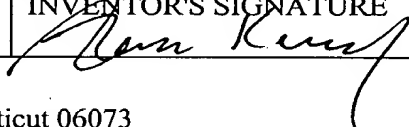
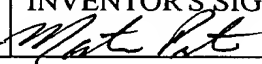

State of Connecticut)
) ss: Wallingford
County of New Haven)

On this 17th day of November 2000, before me personally appeared Martin A. Putnam and Timothy J. Bailey to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(their) free act and deed.



Sherry B. Holt
Notary Public

SHERRY B. HOLT
Notary Public
My Commission Expires Feb. 28, 2005

COMBINED DECLARATION AND POWER OF ATTORNEY IN ORIGINAL APPLICATION		DOCKET NO. CC-0273
<p>We declare: that our residence(s) and citizenship(s) are as stated below next to our names; that We believe We are the original, first and sole inventor (if only I am named below) or joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought in the specification identified as Attorney Docket No. CC-0273 entitled Optical Filter Having a Shaped Filter Function, that We have reviewed and understand the contents of the above-identified specification, including the claims; that We acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56; that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.</p> <p>We appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Robert Crawford (Reg. No. 38,119), Gerald L. DePardo (Reg. No. 36,121), Michael Grillo, (Reg. No. 34,612) and Matthew J. Patterson (Reg. No. 41,244).</p> <p>Direct all correspondence to: Telephone: Robert D. Crawford CiDRA Corporation 50 Barnes Park North Wallingford, CT 06492 (203) 265-0035 (Ext. 502)</p>		
FULL NAME OF SOLE OR 1 st INVENTOR Michael A. Davis	INVENTOR'S SIGNATURE 	DATE 11/16/00
RESIDENCE AND POST OFFICE ADDRESS 172 Stevens Lane, Glastonbury, Connecticut 06033		CITIZENSHIP USA
FULL NAME OF 2 nd JOINT INVENTOR Alan D. Kersey	INVENTOR'S SIGNATURE 	DATE 11/16/00
RESIDENCE AND POST OFFICE ADDRESS 75 Taylor Town Road, South Glastonbury, Connecticut 06073		CITIZENSHIP USA
FULL NAME OF 3 rd JOINT INVENTOR Martin A. Putnam	INVENTOR'S SIGNATURE 	DATE 11/17/00
RESIDENCE AND POST OFFICE ADDRESS 78 Lancaster Way, Cheshire, Connecticut 06410		CITIZENSHIP USA
FULL NAME OF 4 th JOINT INVENTOR Timothy J. Bailey	INVENTOR'S SIGNATURE 	DATE 11/17/00
RESIDENCE AND POST OFFICE ADDRESS 703 Maple Road, Longmeadow, Massachusetts 01106		CITIZENSHIP USA